



EL979954200

PTO/SB/21 (02-04)  
Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL  
FORM***(to be used for all correspondence after initial filing)*

Total Number of Pages in This Submission

30+

Application Number

10/693,696

Filing Date

October 23, 2003

First Named Inventor

Clifton W. Wood, Jr.

Art Unit

2661

Examiner Name

Unassigned

Attorney Docket Number

MI40-364

**ENCLOSURES (Check all that apply)**

Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Response to Missing Parts/  
Incomplete ApplicationResponse to Missing Parts  
under 37 CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



Petition

Petition to Convert to a  
Provisional Application

Power of Attorney, Revocation



Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) \_\_\_\_\_

After Allowance communication  
to Technology Center (TC)Appeal Communication to Board  
of Appeals and Interferences  
Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please  
Identify below):Reissue Declaration w/Added Paper;  
Statements (3); Check; Postcard**Remarks**

Petition for Ext. of Time included in Response to Missing Parts (Completion of Filing Requirements)

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**Firm  
or  
Individual nameDeepak Malhotra, Reg. No. 33,560  
Wells St. John P.S.

Signature

Date

March 3, 2004

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name

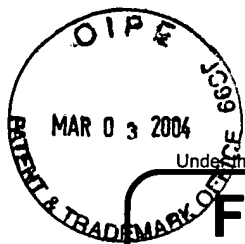
Filed by U.S. Express Mail No. EL 979954200 US

Signature

Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



EL979954200

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 370.00

## Complete if Known

Application Number	10/693,696
Filing Date	October 23, 2003
First Named Inventor	Clifton W. Wood, Jr.
Examiner Name	Unassigned
Art Unit	2661
Attorney Docket No.	MI40-364

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: 23-0925  
Deposit Account Name: Wells St. John P.S.

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$ ) .00

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims	Fee from below	Fee Paid
Total Claims		-20** =	X	
Independent Claims		-3** =	X	
Multiple Dependent				

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ ) .00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	130.00
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	110.00
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	130.00
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 370.00

## SUBMITTED BY

Name (Print/Type)	Deepak Malhotra	Registration No. (Attorney/Agent)	33,560	Telephone	509-624-4276
Signature		Date	March 3, 2004		

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

EL979954200

PATENT

Practitioner's Docket No. MI40-364

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: Clifton W. Wood, Jr.

Application No.: 10/693,696

Group No.: 2661

Filed: October 23, 2003

Examiner: Unassigned

For: Method of Addressing Messages and Communications Systems

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

COMPLETION OF FILING REQUIREMENTS

- I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed December 4, 2003.

A copy of the Notice to File Missing Parts of Application--Filing Date Granted is enclosed.

DECLARATION OR OATH

- II. No Reissue Application Declaration was filed.

Enclosed is the Reissue Application Declaration of Sole Inventor Filed Pursuant to 37 C.F.R. §1.47, including Power of Attorney, dated February 24, 2004.

ADDITIONAL PAPERS

- III. In addition to the Reissue Application Declaration of Sole Inventor, the following papers are being filed in Response to the Notice to File Missing Parts:

- A. Petition by Person Having Proprietary Interest to File Application on Behalf of Inventor Who Refuses to Sign or Cannot be Found (37 C.F.R. 1.47(b)), including Petition Fee;
- B. Added Paper to Reissue Declaration for Signature by a Person with Sufficient Proprietary Interest on Behalf of an Inventor Who Refuses to Sign Pursuant to 37 C.F.R. 1.147(b);
- C. Statement Establishing Proprietary Interest by a Person Signing on Behalf of a Non-Signing Inventor, including copies of recorded Assignment, Notice of Merger, and Inventor's Employment Agreement;
- D. Statement of Facts by David J. Kaplan, Esq., in Support of Filing on Behalf of Non-Signing Inventor (37 C.F.R. §1.47); and
- E. Statement of Facts by Deepak Malhotra, Esq., in Support of Filing on Behalf of Non-Signing Inventor (37 C.F.R. §1.47), including attachments.

### COMPLETION FEES

III. The following Fees are enclosed:

A. Surcharge Fees	
Late payment of filing fee and/or late filing of declaration or oath (37 C.F.R. Section 1.16(e))	\$130.00
B. Petition and fee for filing by other than all the inventors or a person not the inventor (37 C.F.R. Sections 1.17(i) and 1.47)	\$130.00
Total Completion Fees	\$260.00

### EXTENSION OF TIME

IV. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant petitions for an extension of time, the other than small entity fee for which is set out in 37 C.F.R. Section 1.17(a)(2), for one month.

Fee	\$110.00
-----	----------

If an additional extension of time is required, please consider this a petition therefor.

### TOTAL FEE DUE

V. The total fee due is:

Completion fees	\$260.00
Extension fee	\$110.00
Total Fee Due	\$370.00

### PAYMENT OF FEES

VI. Enclosed is a check in the amount of \$370.00.

Please charge Account No. 23-0925 for any fees that may be due by this paper.

## AUTHORIZATION TO CHARGE ADDITIONAL FEES

VII. The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the pendency of this application to Account No. 23-0925.

37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)

37 C.F.R. Section 1.16(b), (c) and (d) (presentation of extra claims)

37 C.F.R. Section 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

37 C.F.R. Section 1.17(a)(1)-(5) (extension fees pursuant to Section 1.136(a))

37 C.F.R. Section 1.17 (application processing fees)

Date: \_\_\_\_\_

*March 3, 2004*



Deepak Malhotra  
Registration No. 33,560  
Wells St. John P.S.  
W. 601 First Ave., Suite 1300  
Spokane, WA 99201-3828  
509-624-4276  
Customer No. 021567



UNITED STATES PATENT AND TRADEMARK OFFICE

3-5-04  
EL979954200

DAC

 UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/693,696	10/23/2003	Clifton W. Wood JR.	M140-364

CONFIRMATION NO. 3582

 021567  
 WELLS ST. JOHN P.S.  
 601 W. FIRST AVENUE, SUITE 1300  
 SPOKANE, WA 99201

## FORMALITIES LETTER



\*OC000000011412330\*

Date Mailed: 12/04/2003

## NOTICE TO FILE MISSING PARTS OF REISSUE APPLICATION

*Filing Date Granted*

An application number and filing date have been accorded to this reissue application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*


 Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

 03/09/2004 CCHAU1 00000075 10693696 130.00 0P  
 01 FC:1051